Effective Date: January 24, 2010 Revised: January 3, 2011

We have updated our Preauthorization and Notification list for Humana Medicare Advantage (MA) plans. Please note that precertification, preadmission, preauthorization and notification requirements all refer to the same process of preauthorization. However, for MA Private-Fee-for-Service (PFFS) plans, notification is requested, not required.

The list represents services and medications [1] that are commonly reviewed and may require additional clinical information. Services must be provided according to the Medicare Coverage Guidelines, established by the Centers for Medicare & Medicaid Services (CMS) and, as such, are subject to review. According to the guidelines, all medical care, services, supplies and equipment must be medically necessary. You may review the Medicare Coverage Guidelines online at http://www.medicare.gov/Coverage/Home.asp.

[1] These medications include those that are delivered in the physician's office, clinic, outpatient or home setting.

Investigational and experimental procedures are not usually covered benefits. Please consult the member's Evidence of Coverage or contact Humana for confirmation of coverage.

Important Notes:

- Humana MA HMO Members: The full list of preauthorization requirements applies to Humana MA HMO
 members. For MA HMO plans in Florida, specialists should direct all service and medication administration
 preauthorization requests to the member's primary care physician for referral issuance. In addition, certain
 services outlined in the Medicare Preauthorization and Notification list may not be applicable for Chicago,
 Nevada or California providers affiliated with an independent physician association (IPA) via a capitated
 arrangement. Please refer to your provider agreement for clarification.
- Humana MA PPO Members: The full list of preauthorization requirements applies to Humana MA PPO members.
- Humana MA PFFS Members: For Humana MA PFFS members, notification is requested, but not required, so that members may be referred to appropriate case management and disease management programs. For procedures or services that are investigational, experimental or may have limited benefit coverage, or for any questions about whether Humana will pay for a service, you may request an Advanced Coverage Determination (ACD) on behalf of the member prior to providing the service. You may be contacted if additional information is needed.

Advanced Coverage Determinations (ACDs) for PFFS members may be initiated by submitting a written request to:

Humana Correspondence P.O. Box 14601 Lexington, KY 40512-4601

- This list does not apply to members enrolled in a Humana Medicare supplement plan.
- Humana Commercial Members: This list does not affect Humana commercial plans. (See Humana's Commercial Preauthorization and Notification List.)
- Exclusions for Pain Management Procedures: This preauthorization requirement does not apply to Medicare Advantage PFFS members, Medicare Advantage HMO members assigned to independent physician associations (IPAs) that have a capitated or delegated arrangement with Humana, and Medicare Advantage HMO members in Alabama, California, Florida, Georgia, Louisiana, Mississippi, Nevada, South Carolina and Tennessee.

There are exceptions to this list. Not all procedures and medications are covered by all health plans. Since a single document cannot reflect all possible exceptions, individual practitioners making specific requests for services are encouraged to verify benefits and authorization requirements prior to providing services.

Reminder:

Except where noted via a link on the following pages, providers and facilities may submit preauthorization requests via the secure provider area of Humana's Web site at <u>www.humana.com/providers</u> (registration required), via Availity at <u>http://www.availity.com</u> (select markets only, registration required) or via the interactive voice response (IVR) line at 1-800-523-0023.

This list is subject to change with notification; however, this list may be modified throughout the year for additions of new-to-market medications without notification via U.S. postal mail.

Medicare Advantage Preauthorization and Notification List

CATEGORY	DETAILS	COMMENTS	НМО	PPO	PFFS
Inpatient	Acute Hospital		Authorization	Authorization	Notification
Admissions	Acute Rehab Facilities		Authorization	Authorization	Notification
	Long-term Acute Care		Authorization	Authorization	Notification
	Skilled Nursing Facilities		Authorization	Authorization	Notification
	Mental Health and Partial		Authorization	Authorization	Notification
	Hospital/Residential Treatment				
Observation	Observation Stays		Authorization	Notification	Notification
Durable Medical	*Cochlear and Auditory		Authorization	Authorization	Not applicable
Equipment (DME)	Brainstem Implants				
	CPAP/BiPAP		Authorization	** Authorization	Not applicable
	CPM Machines		Authorization	** Authorization	Not applicable
	Cranial Orthotics		Authorization	** Authorization	Not applicable
	Electric Beds		Authorization	** Authorization	Not applicable
	Electric Wheelchairs/Scooters		Authorization	** Authorization	Not applicable
	High Frequency Chest Compression Vests		Authorization	** Authorization	Not applicable
	*Pain Infusion Pump		Authorization	** Authorization	Not applicable
	Stimulator Devices	Includes Bone Growth,	Authorization	** Authorization	Not applicable
	Sumulator Devices	Neuromuscular and *Spinal Cord	Autionzation	AdditionZation	
	Prosthetics		Authorization	** Authorization	Not applicable
	Any other DME item greater		Authorization	** Authorization	Not applicable
	than \$750.00				
Plastic	Abdominoplasty		Authorization	Authorization	Not applicable
Surgery/Cosmetic	Blepharoplasty		Authorization	Authorization	Not applicable
	Breast Procedures		Authorization	Authorization	Not applicable
	Otoplasty		Authorization	Authorization	Not applicable
	Penile Implant		Authorization	Authorization	Not applicable
	Rhinoplasty		Authorization	Authorization	Not applicable
Other Comises	Septoplasty		Authorization	Authorization	Not applicable
Other Services	Automatic Implantable Cardioverter Defibrillators (AICD)		Authorization	** Authorization	Notification
	Pain Management Procedures	**Spinal Fusion, *Other Decompression Surgeries, **Facet Injections, *Epidural Injections (outpatient only), *Kyphoplasty, *Vertebroplasty, Pain Infusion Pump (back and neck pain only) and Spinal Cord Stimulator	Authorization	** Authorization	Not applicable
	Home Health Care/Home		Authorization	** Authorization	Not applicable
	Hyperbaric Therapy		Authorization	** Authorization	Not applicable
	Infertility Testing and Treatment		Authorization	** Authorization	Not applicable
	Obesity Surgeries		Authorization	Authorization	Notification
	Oral Surgeries		Authorization	** Authorization	Not applicable
	Radiation Therapy		Authorization	** Authorization	Notification
	Transplant Services		Authorization	Authorization	Notification
	Uvulopalatopharyngoplasty (UPPP)		Authorization	** Authorization	Not applicable
	Varicose Vein: Surgical Treatment and Sclerotherapy		Authorization	** Authorization	Not applicable
	Ventricular Assist Devices		Authorization	** Authorization	Notification
Radiology:	<u>CT Scan</u>		Authorization	** Authorization	Notification
Outpatient Imaging	MRA		Authorization	** Authorization	Notification
	MRI Nuclear Stress Test		Authorization	** Authorization	Notification
	Nuclear Stress Test		Authorization	** Authorization	Notification
	PET Scan/National Oncology PET Registry (NOPR)		Authorization	** Authorization	Notification
			Authorization	** 1 11+6	Notification
Outpatient	SPECT Scan Physical Therapy		Authorization Authorization	** Authorization Authorization	Notification Notification

Medicare Advantage Preauthorization and Notification List

	Speech Therapy	Authorization	Authorization	Notification
Nonparticipating	All Services	Authorization	Notification	Not applicable
Providers				
Maternity	Routine Maternity Care	Authorization	Notification	Notification
Clinical Trials	Clinical Trials	***	***	****

Medication Preauthorization List Preauthorization is required for Humana MA HMO and Humana MA PPO. Notification is requested, not required for Humana MA PFFS** for the following drugs when delivered in the physician's office, clinic, outpatient or home setting. To request authorization/notification, please click here to access the fax forms.						
*Actemra	*tocilizumab		mecasermin			
Aloxi	palonosetron HCI	*Istodax	*romidepsin			
Aranesp	darbepoetin alfa	Ixempra	ixabepilone			
Arcalyst	rilonacept	*Jevtana	*cabazitaxel			
Avastin	bevacizumab	Kineret	anakinra			
Avonex	interferon beta-1a	*Krystexxa	*pegloticase			
*Arzerra	*ofatumumab	Lucentis	ranibizumab			
*Berinert	*c1 esterase inhibitor	*Mozobil	*plerixafor			
Betaseron	interferon beta-1b	Myobloc	rimabotulinumtoxinB			
*Boniva	*ibandronate sodium	Neulasta	pegfilgrastim			
Botox	onabotulinumtoxinA	*Nplate	*romiplostim			
*Cerezyme	*imiglucerase	Orencia	abatacept			
			*dexamethasone intravitreal			
Cimzia	certolizumab pegol	*Ozurdex	implant			
*Cinryze	*c1 esterase inhibitor	Pegasys	peginterferon alfa-2a			
Copaxone	glatiramer acetate	PegIntron	peginterferon alfa-2b			
Dacogen	decitabine	Procrit	epoetin alfa			
*Dysport	*abobotulinumtoxin A	*Prolia	*denosumab			
Emend IV	aprepitant	*Provenge	*sipuleucel-T			
Enbrel	etanercept	*Qutenza	*capsaicin/skin cleanser			
Epogen	epoetin alfa	Rebif	interferon beta-1a			
Erbitux	cetuximab	Reclast	zoledronic acid			
*Extavia	*interferon beta-1b	Relistor	methylnaltrexone bromide			
*Flolan	*epoprostenol (injection)	Remicade	infliximab			
Forteo	teriparatide	*Remodulin	*treprostinil (injection)			
*Folotyn	*pralatrexate	*Revatio	*sildenafil citrate (injection)			
Fusilev	levoleucovorin	Rituxan	rituximab			
*Gilenya	*fingolimod	*Sandostatin LAR	*octreotide			
Growth Hormones:	Ŭ	*Simponi	*golimumab			
Genotropin,		Soliris	eculizumab			
Humatrope,		Somavert	pegvisomant			
Norditropin, Nutropin,		*Stelara	*ustekinumab			
Nutropin AQ, Omnitrope, Saizen,	somatropin	Synagis	palivizumab			
Serostim, Tev-Tropin,		Torisel	temsirolimus			
Zorbtive		Treanda	bendamustine HCI			
*Halaven	*eribulin mesylate	*Tyvaso	*treprostinil (inhaled)			
Herceptin	trastuzumab	Vectibix	panitumumab			
Humira	adalimumab	Velcade	bortezomib			
*llaris	*canakinumab	*Ventavis	*iloprost (inhaled)			
Immune Globulin:		Vidaza	azacitidine			
Carimune NF,		*Vpriv	*velaglucerase alfa			
Flebogamma 5%,		*Xeomin	*incobotulinumtoxinA			
Gamastan,		*Xgeva	*denosumab			
Gammagard S/D,	immune globulin	Xolair	omalizumab			
Gammagard Liquid,		Zometa	zoledronic acid			
Gamunex, * Hizentra, Octagam, Polygam S/D, Privigen, Vivagloblin						

Find precertification request forms for the medications listed above <u>here</u>. Find Medicare Part D prescription drug authorization requirements <u>here</u>.

*New preauthorization requirement

Medicare Advantage Preauthorization and Notification List

**New preauthorization process

***Indicates procedures or services that may be investigational, experimental or have limited benefit coverage. Although authorization or notification is not requested for these services, individual practitioners making specific requests are encouraged to verify benefits and authorization requirements prior to providing services.

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